

Goods Movement Program Requirements for Trucks Serving Ports and Intermodal Rail Yards

A. Equipment Project Specifications

Trucks Serving Ports and Intermodal Rail Yards

Eligible Equipment	<p>Class 8 (>33,000 lbs gross vehicle weight rating) heavy-duty diesel trucks used to move goods.</p> <p>Equipment owner must demonstrate:</p> <ul style="list-style-type: none"> • Continuous registration (California base-plated or International Registration Plan) in California for the past 2 years. • At least 50 percent operation within trade corridor(s) for the past 2 years.
Ineligible Equipment	<ul style="list-style-type: none"> • Trucks subject to ARB's public and utility fleet rule. • Trucks subject to ARB's solid waste collection vehicle rule. • Trucks subject to ARB's diesel cargo handling equipment rule.
Replacement Requirements	<p>Partial funding of up to \$50,000/truck to replace an eligible MY2003 or older heavy duty diesel truck with a diesel or alternative fuel truck meeting MY2007 emission levels or lower.</p> <ul style="list-style-type: none"> • Program-funded truck replacement project shall be completed and operational at least 3 years prior to a regulatory requirement a truck meeting MY2007 emission standards. For independent owner operators, the new truck must be operational at least 2 years prior to a regulatory requirement for a truck meeting MY2007 emission standards. <p>Equipment owner shall:</p> <ul style="list-style-type: none"> • Commit to at least 8 years or 350,000 miles of 100% California-only operation and California base-plated registration. Dual plates, IRP, and any other out-of-state registrations are prohibited. • Commit to at least 4 years of frequent port or intermodal rail yard service (150+ visits/year). • Commit to at least 50% of travel in trade corridors for duration of contract term. • Agree to accept an on-board electronic monitoring unit at any time during the contract term. • Agree to equipment inspections. • Scrap the old truck. • Comply with record-keeping, reporting, and audit requirements. • Sign a legally binding contract with the local agency including project milestone and completion deadlines. Under a lease program, the owner and lessee must sign the contract. • Properly maintain new truck in good operating condition and according to manufacturer's recommendations. • Demonstrate proof of equipment warranty and comprehensive insurance on new truck. • Provide copy of ARB Executive Order documenting that the new truck engine meets 2007 emission levels. • Certify that there are no outstanding ARB equipment violations associated with the equipment project application.

Pro-rated Alternative	Equipment owners may opt for a pro-rated alternative consisting of duplicate requirements, except that the Program will pay up to \$25,000 for a 4 year commitment of 100% California-only operation and California base-plated registration.
Project Cost Assumptions	Total cost of a new MY2007 truck is ~\$100,000 (diesel) to ~\$180,000 (natural gas)

B. Major Milestones for Equipment Project Completion

- Equipment order.
- Equipment acquisition/installation.
- Submittal of invoice to local agency for reimbursement.
- 1st reporting milestone 6 months after equipment project completion.

C. Recordkeeping Requirements

Equipment owners shall retain, at minimum, all documents, invoices, and correspondence associated with the application, award, contract, monitoring, enforcement, and reporting requirements at least two years after equipment project contact term or three years after final payment, whichever is later. Records shall be readily available and accessible to the local agency, ARB, or designee upon request for the purposes of ongoing evaluations or auditing.

D. Annual Reporting Requirements

Equipment owners shall be responsible for annual reporting to the local agency that includes, but is not limited to:

- Contact information (owner name, address, phone, etc.).
- Proof of California registration.
- Annual vehicle miles of travel (including mileage/activity logs for documentation).
- Certification of California-only operation (including certification that permits were not utilized to make out-of-state trips).
- Certification and documentation of at least 50% of travel in trade corridors.
- Documentation of the number of port/rail yard visits within 12 month period.
- Summary of maintenance performed and inspections conducted.
- Certification that the bond-funded project was operated in accordance with signed contract and that all information submitted is true and accurate.
- Other information as requested by the local agency.

E. Ongoing Evaluations and Audits

Equipment owners shall agree to ongoing equipment project evaluations and equipment project audits by the local agency, ARB, or authorized designees. ARB and local agency audit requirements and provisions are found in Chapter II.E.5 of ARB's Goods Movement Guidelines.

F. Application Information

Equipment owners shall provide the following information and documentation in addition to the requirements described in Chapter IV of ARB's Goods Movement Guidelines and other information ARB or local agencies may request on the equipment project applications.

1. General contact information

This section applies to all equipment project options listed below.

- Name/organization/agency/company
- Mailing address
- Primary contact name and phone number
- Person with equipment contract signing authority (Owner)
- Proof of identity of equipment owner
- Small business information
 - Fleet size
 - Number of employees
 - Annual gross income

2. Replacement Option:

a) Current equipment and activity information

- Truck data
 - Truck make, model, model year
 - Vehicle Identification Number
 - Gross vehicle weight rating
 - License plate number
 - Engine make, model, engine year, serial number
 - Engine horsepower and fuel type
 - Current odometer reading (estimate total engine mileage if odometer is missing or broken)
- Truck documentation
 - Proof of DMV registration for current and prior two years
 - Documentation of current ownership (copy of title of truck)
- Vocation and activity data for the past 2 years
 - Vocation(s)
 - Annual vehicle miles of travel (VMT)
 - Annual VMT in California
 - Estimated percentage of annual VMT in trade corridors
 - Average number of port or rail yard visits per year
- Vocation and activity documentation for the past 2 years
 - Documentation of at least 50% of travel in corridors may be required
 - Identify the trade corridors in which the equipment is routinely operated

b) Proposed equipment project information

- New truck data
 - Truck make, model, model year
 - Gross vehicle weight rating
 - Engine make, engine year
 - Engine horsepower and fuel type
- Itemized cost information for eligible expenses (verifiable quote)
- Predicted activity data with new equipment
 - Estimated annual VMT
 - Estimated percentage of annual VMT in trade corridors
 - Estimated number of port or rail yard visits per year (must be 150+)
- Equipment project funding demonstration
 - Total project cost
 - Program dollars requested, including option for pro-rated alternative
 - Source and amounts of other funding (private, local, other State, federal)
 - Request for a direct payment to vendor (if applicable)
 - Documentation of match funding availability